## Message Text

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INFO OCT-01 ISO-00 CIAE-00 DODE-00 PM-04 H-02 INR-07 L-03

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FM AMEMBASSY BONN

TO SECSTATE WASHDC 1936

INFO AMEMBASSY BERLIN

AMEMBASSY LONDON

AMEMBASSY MOSCOW

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E.O. 11652: N/A TAGS: PINT, GW

SUBJECT: WHAT HAPPENS AFTER OCTOBER 3: SOME POSSIBLE

**SCENARIOS** 

REF: BONN 14529

BEGIN SUMMARY: ALL INDICATIONS POINT TO A VERY CLOSE ELECTION ON OCTOBER 3. AT THE MOST, THE DIFFERENCE BETWEEN THE MAJORITY AND THE MINORITY IS EXPECTED TO BE 20 SEATS (4 PERCENT OF THE TOTAL VOTE) AND THE ACTUAL DIFFERENCE BETWEEN THE TWO ADVERSARIES IS LIKELY TO BE LIMITED OFFICIAL USE

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MUCH SMALLER. IF THE CDU/CSU COMES CLOSE TO A MAJORITY,

PRESSURE WILL MOUNT ON THE FDP TO BREAK WITH THE SPD. IN SUCH A CASE, THE LAME-DUCK PERIOD BETWEEN ELECTION DAY AND THE CONVENING OF THE 8TH BUNDESTAG WILL BE ONE OF HECTIC POLITICAL ACTIVITY. THIS TELEGRAM EXPLORES SOME POSSIBLE SCENARIOS AND SOME OF THEIR IMPLICATIONS. FND SUMMARY

- 1. THE PROCEDURE FOR ELECTING THE FEDERAL CHANCELLOR IS SET OUT IN ARTICLE 63 OF THE BASIC LAW (THE FRG CONSTITUTION). AFTER THE BUNDESTAG ELECTION, THE FEDERAL PRESIDENT CONSULTS WITH THE POLITICAL PARTIES REPRESENTED IN THE BUNDESTAG TO DETERMINE WHICH CANDIDATE HAS THE BEST PROSPECT OF FORMING A GOVERNMENT WITH THE MAJORITY OF MORE THAN ONE HALF OF THE VOTES IN THE BUNDESTAG. THE FEDERAL PRESIDENT THEN PROPOSES A CANDIDATE AND THE BUNDESTAG BY SECRET BALLOT VOTES ON THE CANDIDATE. IF A CANDIDATE RECEIVES AN ABSOLUTE MAJORITY (I.E., ONE MORE THAN HALF OF THE MEMBERS OF THE BUNDESTAG), THE FEDERAL PRESIDENT MUST APPOINT HIM CHANCELLOR.
- 2. IF, HOWEVER, WITHIN FOURTEEN DAYS NO CANDIDATE RECEIVES AN ABSOLUTE MAJORITY, THEN THE BUNDESTAG MAY SELECT A CANDIDATE AND ELECT HIM WITH A SIMPLE MAJORITY (THAT IS, THE CANDIDATE RECEIVING THE LARGEST NUMBER OF VOTES). IF THE BUNDESTAG ELECTS A CANDIDATE WITH A SIMPLE MAJORITY, THE FEDERAL PRESIDENT MUST, WITHIN SEVEN DAYS, EITHER APPOINT THE CHANCELLOR-ELECT OR THE FEDERAL PRESIDENT MAY DISSOLVE THE BUNDESTAG AND CALL FOR A NEW ELECTIONS.
- 3. IN THE 8TH BUNDESTAG AN ABSOLUTE MAJORITY IS EXPECTED TO BE 249 SEATS BECAUSE NO "UEBERHANGSMANDATE" ARE FORESEEN. THE TOTAL NUMBER OF BUNDESTAG DEPUTIES (EXCLUDING THE BERLIN DEPUTIES WHO CANNOT VOTE IN THE CHANCELLOR ELECTION) IS THEREFORE EXPECTED TO BE 496.
- 4. IF, AS THE POLLS INDICATE, THE OCTOBER 3 ELECTION IS VERY CLOSE, A NUMBER OF SCENARIOS ARE POSSIBLE. ASIDE FROM AN OUTCOME WHICH PROVIDES THE OPPOSITION OR LIMITED OFFICIAL USE

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THE COALITION PARTIES A CLEAR MAJORITY SOME POSSIBILITIES ARE:

-- SCENARIO A: THE SPD/FDP RECEIVES 248 SEATS
AND THE CDU/CSU RECEIVES 248 SEATS. IN SUCH
A CASE THE CANDIDATES FROM EITHER SIDE WOULD
BE UNABLE TO OBTAIN AN ABSOLUTE MAJORITY UNLESS
ONE OF ITS MEMBERS VOTES FOR A CANDIDATE FROM

THE OTHER SIDE. HOWEVER, AFTER THE FOURTEEN-DAY PERIOD, A CANDIDATE COULD BE ELECTED CHANCELLOR SHOULD ONE OF THE MEMBERS OF EITHER SIDE ABSTAIN. IF, AS THIS SCENARIO ENVISIONS, THE ELECTION WERE TO RESULT IN A DEAD HEAT THE CDU/CSU WOULD CERTAINLY MOUNT A HIGH PRESSURE CAMPAIGN, BOTH PUBLICLY AND PRIVATELY, TO CONVINCE THE LIBERALS THAT THE CDU/CSU, REPRESENTING THE LARGEST SINGLE GROUP OF VOTERS, SHOULD TAKE OVER THE REINS OF GOVERNMENT. IT COULD BE EXTREMELY DIFFICULT FOR GENSCHER AND

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HIS PARTY TO WITHSTAND THIS PRESSURE IF THE
COALITION WERE UNABLE TO OBTAIN AN ABSOLUTE
MAJORITY. THE LIBERALS MIGHT THEN CHOOSE TO
FOLLOW THE COURSE THEY HAVE TAKEN IN THE
SAARLAND AND LOWER SAXONY -- TO "TOLERATE" A
CDU MINORITY GOVERNMENT.

-- SCENARIO B: THE SPD/FDP RECEIVES A TWO-SEAT MAJORITY (I.E., SPD/FDP 249 AND CDU/CSU 247).

A ONE-SEAT MAJORITY WILL BE IMPOSSIBLE UNLESS THERE WERE A "UEBERHANGSMANDAT." IN THE CASE OF A TWO-SEAT MAJORITY FOR THE SOCIAL/LIBERAL LIMITED OFFICIAL USE

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COALITION THE HANNOVER EXPERIENCE MIGHT BE REPEATED. THAT IS, IN THE COURSE OF THE SECRET VOTE, ONE MEMBER OF THE COALITION PARTIES ABSTAINS (OR ACCIDENTALLY INVALIDATES HIS BALLOT) AND THUS PREVENTS CHANCELLOR SCHMIDT FROM RECEIVING AN ABSOLUTE MAJORITY. IF THE ACT WAS INTENTIONAL AND THE DEPUTY CONTINUES TO ABSTAIN, AFTER THE 14-DAY PERIOD IT WOULD BE POSSIBLE TO ELECT SCHMIDT WITH A SIMPLE MAJORITY. HOWEVER, IN SUCH A CASE THE FEDERAL PRESIDENT WOULD HAVE TO MAKE THE DECISION WHETHER TO ACCEPT THE ELECTION OF A CHANCELLOR WHO WOULD LEAD A COALITION GOVERNMENT WHICH LACKED AN ABSOLUTE MAJORITY IN THE BUNDESTAG AND BUNDESRAT, OR TO CALL FOR NEW ELECTIONS IN THE HOPE THAT ONE SIDE OR THE OTHER WOULD ACHIEVE A CLEAR MAJORITY.

-- SCENARIO C IS A TWO-SEAT MAJORITY FOR THE CDU/ CSU (I.E., CDU/CSU 249 AND SPD/FDP 247). SUCH A SITUATION WOULD PRESENT LEADERSHIP PROBLEMS FOR KOHL AND WOULD REQUIRE STRICT DISCIPLINE ON THE PART OF THE UNION PARTIES. NEVERTHELESS A RAZOR-THIN CDU/CSU MAJORITY WOULD BE SOMEWHAT MORE STABLE THAN A RAZOR-THIN COALITION MAJORITY. IN SUCH A SITUATION THE CDU/CSU FRAKTION COULD ELECT KOHL WITH AN ABSOLUTE MAJORITY IN THE FIRST BALLOT. THE UNION PARTIES WOULD IN ANY EVENT MAINTAIN PRESSURE ON THE FDP TO JOIN THEM IN A COALITION. EVEN IF UNSUCCESSFUL IN THIS EFFORT, THERE ARE SOME PRECEDENTS FOR THE CDU. ADENAUER MANAGED TO GOVERN WITH A ONE-SEAT MAJORITY FOR SOME YEARS AND STOLTENBERG IS DOING SO IN SCHLESWIG-HOLSTEIN AT THE PRESENT TIME.

5. THE ELECTION TAKES PLACE ON OCTOBER 3 BUT UNDER THE OLD LAW WHICH HAS SINCE BEEN AMENDED THE 8TH BUNDESTAG CANNOT MEET BEFORE DECEMBER 14. IF THE ELECTION RESULTS ARE CLOSE, THIS PERIOD WILL INVOLVE CONSIDERABLE POLITICAL MANEUVERING. SHOULD THE RESULTS FORCE LIMITED OFFICIAL USE

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EITHER SIDE TO FORM A GOVERNMENT WITH A SLIM MAJORITY, IT WILL BE NECESSARY FOR THAT GOVERNMENT TO DEVOTE MOST OF ITS TIME, ENERGY AND ATTENTION TO PRESERVING THAT SLIM MAJORITY. A GOVERNMENT PREOCCUPIED WITH ITS SURVIVAL WILL BE LIMITED IN ITS FREEDOM OF ACTION IN FOREIGN AND DOMESTIC POLICY.

6. SHOULD THE SPD/FDP COME OUT OF THE ELECTION WITH A SMALL MAJORITY, THE LIFE SPAN OF THE COALITION WOULD PROBABLY BE LESS THAN THE FULL LEGISLATIVE PERIOD OF

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FOUR YEARS. IN THE 1969-72 PERIOD, THE COALITION
PARTIES HAD A 12-SEAT MAJORITY WHICH IN THREE YEARS
DWINDLED DOWN TO ZERO. IT IS TRUE THAT MANY OF THE
MORE CONSERVATIVE MEMBERS OF THE FDP HAVE LONG SINCE
LEFT THE LIBERAL PARTY AND JOINED THE CDU/CSU, AND
THOSE THAT REMAINED IN THE FDP ARE MORE LIKELY TO REMAIN
LOYAL TO THE SOCIAL-LIBERAL COALITION. ALL THAT SAID,
MAINTAINING THE IRONCLAD DISCIPLINE NECESSARY TO HOLD
SUCH A COALITION TOGETHER WOULD BE A FORMIDABLE TASK FOR
BOTH COALITION PARTNERS.
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- 7. FEDERAL PRESIDENT WALTER SCHEEL, FORMER CHAIRMAN OF THE FDP, IS LIKELY TO PLAY A CRUCIAL ROLE IF THE SOCIAL-LIBERAL COALITION EMERGES WITH A SLIGHT MAJORITY. SCHEEL, THE MAN WHO LED THE FDP INTO COALITION WITH THE SPD IN 1969, HAS IN RECENT MONTHS INDICATED THAT THE ALLIANCE WAS NOT CAST IN CONCRETE AND AT SOME TIME IT MIGHT BE NECESSARY FOR THE FDP TO CHANGE PARTNERS. IT IS ALSO CLEAR THAT IF SCHEEL WISHES TO REMAIN FEDERAL PRESIDENT AFTER HIS FIRST TERM EXPIRES IN 1979, HE CAN ONLY DO SO, GIVEN THE COMPOSITION OF THE PRESI-DENTIAL ELECTORAL COLLEGE (BUNDESVERSAMMLUNG), WITH SUPPORT OF THE UNION PARTIES. THIS COULD BE AN ADDED INCENTIVE FOR HIM TO USE HIS INFLUENCE TO HAVE THE LIBERALS LEAVE THEIR COALITION WITH THE SOCIAL DEMOCRATS AND EVENTUALLY GO INTO A COALITION WITH THE UNION PARTIES.
- 8. A ROUGH RULE OF THUMB IS THAT EACH PERCENT IN THE TOTAL NATIONAL VOTE EQUALS FIVE SEATS. THEREFORE, A TWO PERCENT SPREAD IN THE NATIONAL VOTE ON THE SECOND BALLOT BETWEEN THE COALITION AND THE OPPOSITION WOULD MEAN A 10-SEAT MAJORITY IN THE BUNDESTAG. EVEN WITH THE POLLS AS CLOSE AS THEY APPEAR TO BE NOW, A

TWO-FOUR PERCENT DIFFERENCE ON OCTOBER 3 IS POSSIBLE. IF THE EXPERIENCE WITH THE DWINDLING MAJORITY IN THE 1969-72 PERIOD DID NOT DO IT, THE HANNOVER DEBACLE HAS SINCE REMINDED SOCIAL-LIBERAL POLITICIANS OF THE PITFALLS A GOVERNMENT FACES WHICH IS DEPENDENT UPON A SMALL MAJORITY. NEVERTHELESS, THE SPD/FDP IS EXPECTED TO TRY TO FORM A GOVERNMENT NO MATTER HOW SMALL THE MAJORITY. HILLENBRAND

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